# The Anthropocene: comparing its meaning in geology (chronostratigraphy) with conceptual approaches arising in other disciplines

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#### 40 **Key points**:

41
42 The Anthropocene concept developed in the Earth System science
43 community is closely consistent with its proposed chronostratigraphic
44 (geological) definition.

A wide range of other meanings of the Anthropocene subsequently
emerged that represent inherently valid, but partly different, concepts.

49 Cross-disciplinary discussion is encouraged to help resolve issues of
 50 meaning and communication in this important area.

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54 Abstract The term Anthropocene initially emerged from the Earth System science (ESS) community in the early 2000s, denoting a *concept* that the 55 56 Holocene Epoch has terminated as a consequence of human activities. First 57 associated with the onset of the Industrial Revolution, it then more clearly 58 focused on the Great Acceleration in industrialization and globalization in the 59 1950s that fundamentally modified physical, chemical and biological signals in 60 geological archives. Since 2009, the Anthropocene has been evaluated by the 61 Anthropocene Working Group (AWG), tasked with examining it for potential 62 inclusion in the Geological Time Scale. Such inclusion requires a precisely 63 defined chronostratigraphic and geochronological unit with a globally 64 synchronous base and inception, with the mid-20<sup>th</sup> century being geologically 65 optimal. This reflects an Earth System state in which human activities have 66 become predominant drivers of modifications to the stratigraphic record, 67 making it clearly distinct from the Holocene. However, more recently, the term 68 'Anthropocene' has also become used for different conceptual interpretations in 69 diverse scholarly fields, including the environmental and social sciences and 70 humanities. These are often flexibly interpreted, commonly without reference to 71 the geological record, and diachronous in time; they often extend much further 72 back in time than the mid-20<sup>th</sup> century. These broader conceptualizations 73 encompass wide ranges and levels of human impacts and interactions with the 74 environment. Here, we clarify what the Anthropocene is in geological terms and 75 compare the proposed geological (chronostratigraphic) definition with some of 76 these broader interpretations and applications of the term 'Anthropocene'. 77 showing both their overlaps and differences.

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79 Keywords Anthropocene, chronostratigraphy, Earth System science, humanities,80 social sciences

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#### 83 **1. Introduction**

The term Anthropocene was coined by Paul Crutzen in 2000 (Crutzen & 84 Stoermer, 2000; Crutzen, 2002) during a review of the first decade of research in 85 86 the International Geosphere-Biosphere Programme (IGBP). The term crystallized 87 the growing realization in the Earth System science (ESS) community that 88 human activities were fundamentally changing the Earth System (Steffen et al., 89 2020). The ESS focus on planetary processes, including significant global changes 90 to the atmosphere, biosphere, cryosphere, geosphere, hydrosphere, pedosphere, 91 technosphere and the climate, demonstrated that conditions typical of the 92 Holocene (specifically, the last 11,700 years of Earth history) no longer 93 resembled those of the present day. In proposing this new term, Crutzen and 94 Stoermer (2000, p. 17) indicated the onset of the Anthropocene as "the latter 95 part of the 18<sup>th</sup> century... when data retrieved from glacial ice cores show the 96 beginning of a growth in the atmospheric concentrations of several 'greenhouse 97 gases', in particular CO<sub>2</sub> and CH<sub>4</sub>". They, and Crutzen (2002), linked this physical 98 record with the global effects of human activities associated with the onset of the 99 Industrial Revolution in the UK, catalyzed by the development of a greatly 100 improved steam engine by James Watt. 101 102 Continued research within the IGBP community led to the recognition that there 103 were sharp upward inflections of many socio-economic and resultant Earth System trends of global significance in the mid-20<sup>th</sup> century. The term for this, 104 the 'Great Acceleration', was coined in a Dahlem Conference in 2005 that 105

- included social scientists and humanities scholars in addition to natural
   scientists. This explosive growth of the human enterprise from the mid-20<sup>th</sup>
- 108 century had earlier been described from a historical context (McNeill, 2001),
   109 providing insights that shifted the emphasis in Anthropocene research from the
- 110 Industrial Revolution to the Great Acceleration. The major outcomes of the
- 111 Dahlem Conference were published by Steffen, Crutzen and McNeill (2007),
- 112 proposing the Great Acceleration as a 'second stage' of the Anthropocene,
- following the Industrial Revolution. The Great Acceleration has parallels with
  Karl Polanyi's 1944 book '*The Great Transformation*' which provided a holistic
- 115 view of modern market societies. In a similar way, the Great Acceleration aims to
- express the holistic, comprehensive and interlinked nature of post-1950 changes
- covering socio-economic factors and biophysical processes. This shows an
  exemplar of ways in which ideas and terms move between disciplines, as is true
- 119 for the Anthropocene.
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121 The geological community first addressed the Anthropocene context in a 122 preliminary analysis by the Stratigraphy Commission of the Geological Society of

123 London (Zalasiewicz et al., 2008). As a national body it had no power to

- formalize the term. However, they concluded that there was geological evidence
- 125 to support formalization and in 2009 the Anthropocene Working Group (AWG)
- 126 was established (see SQS, 2009). The AWG is a task group of the Subcommission
- 127 on Quaternary Stratigraphy (SQS), a component body of the International
- 128 Commission on Stratigraphy (ICS) that is responsible for maintaining and
- 129 refining the International Chronostratigraphic Chart, which serves as the basis
- 130 for the Geological Time Scale (GTS). A fundamental quality of all
- 131 chronostratigraphic units incorporated within this chart is that each is defined

- 132 by an isochronous base, representing a conceptual surface of *identical time*
- around the globe. This surface is recognized ('correlated') in practice, with
- 134 varying degrees of precision, by stratigraphic signals within sedimentary
- deposits and other geological materials, and its definition is fixed by a designated
- 136 marker at a unique reference section known as a Global Boundary Stratotype
- 137 Section and Point (GSSP), commonly termed a 'golden spike' (Salvador, 1994).
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- The AWG grew and evolved with international membership (as of late 2020 from
  140 14 countries). Geoscientists make up most of the current membership of 38.
- 141 However, given that the AWG considers human phenomena and timescales as
- 142 well as geological processes, it includes representatives beyond, but for the
- 143 purposes of the AWG work complementary to, the geological sciences –
- 144 archaeology, ESS, ecology, geography, oceanography, history, philosophy, and
- 145 international law. These members work on human impacts on the environment
- and their consequences, exploring the utility of the formalization of the
- 147 Anthropocene on the GTS for the development of science and scholarship,
- 148 extending well beyond Earth science.
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- 150 The AWG has analyzed a wide range of aspects of the Anthropocene concept,
- with the broad range of evidence being summarized by Zalasiewicz et al.(2019a). However, the AWG's primary task is to assess the Anthropocene as a
- potential geological time (chronostratigraphic) unit, following the elaborate
- 154 protocols stipulated by ICS and its parent body, the International Union of
- Geological Sciences (IUGS). The AWG is therefore progressing towards a
  proposal for a formal definition of the chronostratigraphic Anthropocene, and
  has agreed that its isochronous base would be defined by stratigraphic signals
- associated with the Great Acceleration of the mid-20<sup>th</sup> century (AWG, 2019).
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160 There has, however, been a growing development of alternative and quite 161 different understandings of the Anthropocene by both a small minority of AWG 162 members and among several disciplines outside geology ranging from the 163 natural and social sciences to the arts and humanities (see Ellis, 2018; Horn & 164 Bergthaller, 2020; Thomas et al., 2020). The origin of these alternative 165 understandings may stem back to the title of the Crutzen (2002) publication – "Geology of Mankind" and the by-line often used when referring to the 166 167 Anthropocene, as "the human age" (e.g. Braje, 2015; Monastersky, 2015) or "Age 168 of Humans" (Waters, 2016). This has led many to use the term Anthropocene to 169 encompass the concept of *all* discernable human impact on the planet—a much 170 broader concept than Crutzen originally intended. In this broader view, the 171 Anthropocene's origin is diachronous, i.e. time-transgressive, and varies 172 regionally, towards the time when *Homo sapiens* first gained collective capacities 173 to change Earth's ecology in unprecedented ways. The selection of key events 174 when human societies first began to play a significant role in shaping the planet 175 commonly reflects different disciplinary perspectives. For example, 176 anthropologists and archaeologists may consider the development of the first 177 urban communities, or development of agriculture expressed in either the 178 sedimentary record as changing pollen records or inferred from modified 179 atmospheric compositions. In contrast, as a geological task group in stratigraphy, the AWG investigates the Anthropocene in accordance with the mandate given to 180

181 it by the SQS, as a potential geological time unit during which "*human* 

*modification of natural systems has become predominant*" (SQS, 2009), rather
than locally or regionally significant.

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This paper explores the diverse, but often overlapping, understandings of these
"anthropocenes" and contemplates whether there is scope for such diverse
meanings for the same term to coexist across disciplines, and how formally
defining the Anthropocene as an epoch (in the geological sense) using the
standard chronostratigraphic approach could contribute to and facilitate crossdisciplinary understanding.

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# 2. The Anthropocene as a potential new division of the Geological Time Scale

194 The Anthropocene from a geological perspective would be, if formalized, like all 195 the other units of the GTS, both a unit of 'abstract time' (of geochronology) and a 196 material unit of strata (and hence of chronostratigraphy) – see Salvador (1994). 197 *Chronostratigraphy* is the branch of stratigraphy concerned with the application 198 of time to rock successions. A chronostratigraphical division refers to a 199 succession deposited in a particular time interval. These divisions are 200 hierarchical, with series being of higher rank than stage, but lower than system. 201 Corresponding *geochronological* divisions represent 'abstract' time intervals, 202 with epoch being of equivalent rank to series. Chronostratigraphic units, and 203 hence the geochronological counterparts, are defined in most circumstances by a 204 specific point at a specific level within a stratotype section, the Global Boundary 205 Stratotype Section and Point.

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207 The proposed Anthropocene Epoch comprises time and the events that took 208 place during its span, whereas the corresponding Anthropocene Series 209 comprises all the geological deposits laid down over that time interval. 210 Geological deposits are typically considered as layers of rock or sediment, although in recent decades 'classical' conceptions of rock have been extended. 211 212 For instance, the base of the Holocene Series (Walker et al., 2009) and of the 213 Greenlandian and Northgrippian stages of the Holocene (Walker et al., 2018) 214 have been defined in ice cores, whilst the base of the Meghalayan Stage of the 215 Holocene is in a speleothem (Walker et al., 2018). Before human-recorded 216 history began, such geological materials are the only source of evidence for Earth 217 history through the physical, chemical and biological clues that they contain. This 218 evidence has continued to accumulate, and so the geological record of the 219 Anthropocene is crucial to establishing the scale, nature and rates of modern 220 processes by comparison with those earlier in Earth history: it is the direct link 221 to Earth's deep time record. The geological record has been fundamental to ESS 222 by providing evidence for past states and trajectories of, and clues to the forcing 223 mechanisms that have driven changes to, the Earth System. 224

The synchroneity and precision of definition of both epoch and series (by GSSP)
is essential to geoscientists, as the boundary then acts as a time reference
surface, around which (commonly complex and diachronous) events and
processes in different parts of the world can be located and ordered in time and
space, in order to construct a meaningful Earth history. Zalasiewicz et al. (2019a,

230 Chapter 1.3) provide examples of GSSPs in the ancient geological record that 231 bear useful comparison with the Anthropocene. Any unit of the GTS, hence, is 232 meant to be precisely and unambiguously understood worldwide. Changes to the 233 GTS are made only following careful scrutiny: the system is conservative by 234 design in order to maintain coherence with the earlier literature. The approach 235 to recognizing a potential GSSP for the Anthropocene has been outlined by 236 Waters et al. (2018) and current assessment is being undertaken on a number of 237 sites across the planet in diverse environments of sedimentary deposition. Once 238 a particular site has been recommended by the AWG to serve as the GSSP, it must 239 pass three additional levels of international scrutiny, by a 60% supermajority 240 vote successively within the SQS, ICS and IUGS, before the unit it defines can be 241 incorporated officially into the GTS (Head, 2019). For better tracing of such a 242 formalized boundary across the globe, a GSSP is often accompanied by 243 designated auxiliary sections depicting the lower boundary across a spectrum of depositional settings, a practice which will also be followed by the AWG (see 244 245 Waters et al., 2018). Only the GSSP, however, is formally designated.

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Anthropocene strata within this chronostratigraphic framework comprise all
those deposited within the precisely defined time interval, whether they are:
anthropogenic such as the 'artificial ground' beneath cities; partly 'natural' but
within anthropogenic contexts, such as lake deposits formed behind large dams;
natural sediment accumulations that include anthropogenic traces such as
microplastics or artificial radionuclides; or fully 'natural' sediments/rocks with
few or no such indicators.

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## 255 2.1 Distinguishing 'anthropogenic' from Anthropocene

It is important here to distinguish "anthropogenic" from Anthropocene. While 256 257 anthropogenic deposits may commonly range to older levels of the Holocene or 258 even Pleistocene, especially in terrestrial settings, the base of the Anthropocene 259 as a chronostratigraphic unit is recognizable only by anthropogenic indicators in 260 the stratigraphic record that are nearly globally synchronous. Evidence of global 261 synchronicity is determined by appropriate age indicators such as radiometric 262 dating (e.g. <sup>137</sup>Cs, <sup>210</sup>Pb, <sup>14</sup>C), artefacts, specific persistent organic pollutants, 263 modern plastic polymers, industrially sourced fly-ash, bomb-sourced radionuclides or the preserved remains of invasive species introduced by human 264 activity (Waters et al., 2016, 2018; Zalasiewicz et al., 2019a). 265

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267 Seemingly counter-intuitively, despite human modification of the planet being most clearly expressed in artificial deposits associated with the archaeosphere. 268 269 no candidate GSSP is currently being investigated in such deposits, despite their 270 richness in anthropogenic evidence (Edgeworth et al., 2019), because of their 271 typically punctuated, patchy and locally disturbed accumulation. In contrast, 272 'natural' successions in some marine, lake and estuarine sediments, glacial ice, 273 corals, and speleothems may continuously record human-driven environmental 274 change to annual or sub-annual resolution over centuries and even millennia 275 (Waters et al., 2018). Nonetheless, one site being analyzed is within an

- anthropogenically defined setting (an artificially dammed reservoir) and a GSSP
- 277 could be located in wholly anthropogenic deposits, if a suitable candidate site

278 showing sufficiently continuous sedimentation and appropriate stratigraphical 279 signals were to be found and proposed.

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281 The Anthropocene in its geological (that is, chronostratigraphic / 282 geochronological) sense encompasses all events and processes on Earth during 283 its span, whether human or natural. Thus, it encompasses volcanic eruptions, 284 earthquakes, the passage of ocean currents and changes of climate, as well as 285 human social and economic activities, many of which now impact substantially 286 on climate, landscape, ocean, biosphere and geosphere. Precisely defining its 287 beginning provides a systematic time framework into which the many other, 288 commonly time-transgressive geological units (e.g. those based on rock types 289 and fossils) can be integrated and analyzed. And, it allows consistent comparison 290 of rates of change of different Earth processes with those of other time intervals. 291 not least quantitatively (e.g. Syvitski et al., 2020)

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293 For instance, in the  $\sim$ 70 years of the chronostratigraphic Anthropocene up to

294 2015, the amount of Earth surface rock and sediment moved and reshaped by 295 human mineral/rock extraction and construction activities was some 6.4 trillion

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tonnes, 30-fold larger than during the previous 70 years (Cooper et al., 2018). 297 This is some seven times greater than the mass of sediment carried by the

298 Earth's rivers to the ocean, and about two orders of magnitude greater than the

- 299 total mass of magma erupted by the world's volcanoes
- 300 (http://volcano.oregonstate.edu/eruption-rates) over that time. Humans have

modified ground progressively across much of the Holocene, as agriculture and 301

302 urbanization developed. However, the rate of production and consequently the 303 vertical growth and lateral spread of these anthropogenic deposits (or

- 304 archaeosphere) has increased greatly during the chronostratigraphic
- 305 Anthropocene (i.e., since the early-1950s) to a point where human modification 306 of the planet's surface has become overwhelmingly dominant over non-human natural processes.
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309 The energy to drive these landscape changes was largely derived from the 310 burning of fossil fuels and, as a direct consequence, atmospheric CO<sub>2</sub> levels 311 increased by >104 ppm in 70 years since the mid-20<sup>th</sup> century. This exceeds the

- 312 80 ppm rise over a  $\sim$ 6000-year interval during the last glacial-interglacial
- 313 transition and has taken place >100 times more rapidly (see Waters et al., 2016,

314 fig. 5). This largely reflects the striking increase in fossil fuel consumption in the

315 chronostratigraphic Anthropocene, approaching 90% of all coal, oil and gas used

316 to date: in that brief interval, the total human-appropriated energy use of all

317 kinds exceeded that in *all* previous human history (Syvitski et al., 2020).

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319 These kinds of systematic comparisons, like those made across many of the 320 Earth's geological time intervals, are facilitated by the precise definition of such 321 intervals. For example, current and future climate forcing scenarios can be 322 compared with geological precedents over the last 420 million years (Foster et 323 al., 2017; see also Burke et al., 2018). Treating the Anthropocene in this way 324 allows its processes (both human and non-human) to be placed within a context

325 of planetary space and deep time. The Anthropocene here – like the current formal units of the GTS – forms part of a practical time framework within which
 all geologically significant phenomena in Earth's history can be ordered.

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329 The brevity so far of the Anthropocene compared with other geological time 330 intervals, the novel nature of many of the human-generated stratigraphic signals 331 (such as technofossils, i.e. fossilizable human artefacts, commonly made of novel 332 materials such as plastics) and the linking of geological consequences to societal 333 actions (and therefore involving a political dimension), have been factors behind 334 criticism of the Anthropocene as a potential formal geological time term from 335 within the geological community (e.g. Finney & Edwards, 2016). While such 336 criticisms may be reasonably answered (Zalasiewicz et al., 2017; Head, 2019), 337 they nevertheless are an indication of the challenge, perhaps less technical than 338 cultural within geology, of considering, in a formal geological context, the 339 unprecedented change in the scale, rate and nature of human planetary forcing 340 associated with the 'Great Acceleration'. The currently short duration of the proposed Anthropocene does not itself contravene requirements for inclusion of 341 342 a unit in the time scale, and indeed follows a trend: the most recent intervals of 343 geological time: the Cenozoic Era (66 Ma), the Quaternary Period (2.6 Ma), and the Holocene Epoch (11,700 years b2k) along with its constituent stages (of 344 345 3465–4270 years), all have the briefest durations within their rank in the GTS.

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347 The phenomena of the Anthropocene are important per se, irrespective of their 348 cause. One may consider just a few of these (see Waters et al., 2016; Syvitski et 349 al., 2020): 1) the rapid post-industrial increase in atmospheric  $CO_2$  by over a 350 third; 2) the doubling of the surface N and P cycles; 3) the more than order-of-351 magnitude increases in the diversity of mineral-like substances and in terrestrial 352 erosion/sedimentation rates; and 4) the marked accelerations in biological 353 invasion (Seebens et al., 2017, 2018) and extinction rates (Ceballos et al., 2015). 354 If these phenomena were due to some drastic natural forcing, such as a bolide 355 impact, they would equally well provide justification for a distinctive new 356 geological epoch. Indeed, in such a case the recognition and definition of this 357 geological time unit, without the baggage of responsibility carried by our own 358 species, would likely be considerably more straightforward. Nonetheless, it is an 359 important feature of the geological meaning of the Anthropocene in that it refers 360 to the *manifestation* of human effects: the *consequence* in strata.

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362 Similarly, if the current direct anthropogenic drivers are joined or subsumed by a cascade of 'natural' Earth System drivers arising from positive feedbacks 363 364 induced by anthropogenic forcing, such as methane (CH<sub>4</sub>) expulsion from 365 melting permafrost, or CO<sub>2</sub> expulsion from warming oceans, then this process 366 could still be regarded as forming part of the same phase of Earth history. As 367 comparison, the Eocene Earth System was triggered by, but not restricted to, the 368 short-lived but consequential Paleocene-Eocene Thermal Maximum event 369 (Zachos et al., 2008). The anthropogenic forcings we now associate with the 370 chronostratigraphic Anthropocene will have an effect far into the future, to set a 371 pattern of Earth System evolution that may long outlast humans.

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**3.** The Anthropocene from an Earth System science perspective, as a new state of the Earth System

375 376 As indicated above, the concept of the Anthropocene was born in the ESS 377 community, itself a relatively new development in the natural science research 378 arena. Building on the work of such pioneers as Vladimir Vernadsky (Grinevald, 379 2007) and James Lovelock (Lovelock, 1979), the thrust of ESS is far more 380 integrative and trans-disciplinary than occurs in most areas of academia. ESS 381 operates on the premise that "the Earth System behaves as a single, self-regulating 382 system comprised of physical, chemical, biological and human components, with 383 complex interactions and feedbacks between the component parts" (Steffen et al., 384 2004, p. 298). The Earth System is defined as having an outer spatial boundary at 385 the top of the atmosphere but a rather fuzzy lower boundary depending on the 386 timescales of interest (Lenton, 2016).

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388 The interaction between the nascent ESS community and the well-established field of geology was pivotal from the very beginning of ESS. For example, the IGY 389 390 (International Geophysical Year) in 1957–1958 brought together scientists from 391 67 countries to study the geosphere in a highly integrated way, creating a step-392 change in our understanding of meteorology, oceanography and glaciology - all central to understanding the Earth System as a whole (Beynon, 1970). 393 394 Nevertheless, the IGY largely ignored biology, which was finally integrated with 395 other disciplines during the International Geosphere-Biosphere Programme 396 (IGBP), beginning in 1986, and during the International Polar Year of 2007–2009 397 (Summerhayes, 2008). The links between ESS and stratigraphy have been 398 particularly important, with the continuous stratigraphic record, as embodied in 399 the GTS, providing insights into the evolution and dynamics of the Earth System 400 throughout its 4.54 billion year history (Steffen et al., 2016).

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402 The stage for the Anthropocene concept was set by the detailed record of Earth 403 System dynamics through the Holocene, based on the multitude of stratigraphic 404 data synthesized by IGBP's PAGES (Past Global Changes) core project. PAGES 405 supports research on the Earth's past climate and environment to obtain better 406 predictions of future trends. In fact, Paul Crutzen, in proposing the 407 Anthropocene, was reacting to a presentation of PAGES research at the annual 408 meeting of the IGBP Scientific Committee, held on 22–25 February 2000 in 409 Cuernavaca, Mexico; Crutzen interrupted the presentation by forcefully asserting 410 that the Earth System was no longer in the Holocene. Thus, in addition to 411 introducing the term 'Anthropocene' to the ESS community. Crutzen made the 412 connection between the GTS and, in some cases, state changes in the Earth 413 System, changes in this case clearly driven by human action.

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415 The Anthropocene was quickly adopted by the IGBP as the primary organizing principle when it restructured for its second decade of research in the early 416 417 2000s (e.g., Steffen et al., 2004). Projects were organized around the land, ocean, 418 and atmosphere, as well as a strong focus on the interactions between them (e.g., 419 land-ocean). The core of the effort was built around PAGES and AIMES (Analysis, 420 Integration and Modeling of the Earth System; Schimel et al., 2015), which 421 integrated the work of the individual projects as well as being linked to the 422 World Climate Research Programme, the International Human Dimensions 423 Programme, and Diversitas, a biodiversity-oriented program. The strategy was

to build a coherent research effort along a timeline from the geological past
through the present and into the future. The overall aim was to understand the
changing dynamics of the Earth System as a whole, and in particular the state
change in the system that was unfolding as a result of the broad range of human
pressures.

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430 As the concept of the Anthropocene became more widely adopted in the ESS 431 community, the focus shifted away from an earlier model of progressive change 432 from Holocene to Anthropocene to that of a clear, rapid transition in the state of 433 the Earth System. This transition occurred in the mid-20<sup>th</sup> century, albeit with 434 many earlier human-driven changes to components of the Earth System that as a 435 whole remained within the envelope of the Holocene. The transition away from a 436 well-defined Holocene state of the Earth System, as embodied in the Great 437 Acceleration, is thus consistent with the definition of the Anthropocene from a 438 geological, chronostratigraphic perspective (Steffen et al., 2016). Where the 439 Earth System trajectory is headed in the Anthropocene is an open question. The 440 Anthropocene is currently characterized by an exceptionally rapid rate of change of the Earth System (Syvitski et al., 2020), whose ultimate state is yet to be 441 442 determined by a combination of human actions and Earth System responses 443 (Steffen et al., 2018; Lenton et al., 2019).

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# 4. The Anthropocene and conceptual approaches emerging in some other disciplines

447 Following the origin and initial use of the Anthropocene in ESS since the early-448 2000s and the beginning of its geological analysis as a potential addition to the 449 GTS since 2009, the Anthropocene began to be used by a much wider range of 450 academic communities, notably within the humanities and social and 451 environmental sciences, including anthropology, archaeology, history, 452 geography, sociology, philosophy, and international law (for overviews see Conversi, 2020; Horn & Bergthaller, 2020; Thomas et al., 2020). In some of those 453 disciplines, and in part of the literature, understanding of the Anthropocene 454 concept has diverged widely from the ESS and geological (chronostratigraphic) 455 456 concepts. According to some views, they reflect to varying degrees the notion 457 that the scientific approach might be overly narrow and restrictive, and that the 458 perspectives and insights of the humanities and social sciences should be at the 459 forefront of analysis; it has been argued in that connection that characterizing 460 the Anthropocene scientifically using purely quantitative data needs to be 461 complemented by an understanding of how it captures "human interaction, 462 culture, institutions, and societies – indeed, the meaning of being human" (Palsson 463 et al., 2013, p. 10). While this may seem to contrast with the temporal, evidence-464 based, and planetary approach followed by the geological and ESS communities, 465 there is clear overlap between these two spheres of endeavor, and analyses of Earth System behavior in the Anthropocene can closely engage with socio-466 467 technological aspects of the world (e.g. Haff, 2014a, 2014b, 2016, 2017; 468 Leinfelder, 2017).

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However, as also discussed by Conversi (2020, pp. 3–4), there are many other
fields within the social sciences and humanities, such as those concerned with
inter-State relations, including international law and geopolitics, where a stricter

- 473 geological understanding is referenced and some scholars within these
- 474 communities have adopted and used the term consistent with its ESS/
- 475 chronostratigraphic meaning (e.g., Chakrabarty, 2009; Vidas, 2011; Thomas,
- 476 2014; Vidas et al., 2015a; Latour, 2017; Renn, 2020) while exploring the human
- 477 drivers and consequences. Others have adapted it, modifying the meaning by
- focusing on the 'anthropos' element in the term, and commonly using it to
- 479 emphasize that significant human influence on the Earth's environment long
  480 predates industrialization (Bauer & Ellis, 2018). The debate then centers on
- 481 *when* the Earth System became radically altered through anthropogenic impacts,
- 482 with the timing not necessarily constrained to an isochronous beginning as
- 483 required for a geological (chronostratigraphic) Anthropocene.
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- 485 Examples of the use and understanding of the term 'Anthropocene' in different486 disciplines are summarized in Table 1.
- 487 488

## 5. The Early Anthropocene Concept

489 5.1 Anthropocene in anthropology, archaeology, and pedology 490 Many anthropologists and archaeologists consider that the Anthropocene began 491 thousands of years ago, based on differing criteria that typically require a 492 diachronous onset. Smith & Zeder (2013) emphasized key human innovations 493 such as crop domestication representing 'environmental engineering' or 'niche 494 construction', which for these authors makes the Anthropocene essentially 495 coeval and synonymous with the Holocene. Their interpretation, though, 496 emphasizes the early *cause* (inception of this novel form of human interaction) 497 over the stratigraphic effect (consequence) or the magnitude of planetary alteration, and hence reworks the Anthropocene according to archaeological/ 498 499 anthropological criteria, rather than chronostratigraphic (geological) ones in 500 which the correlation potential of stratigraphic signals is key to defining a time 501 unit. However, a direct *causal link* between today's stratigraphic effects 502 attributed to the Anthropocene and such early 'causes' is difficult to establish, 503 since these human activities are distant precursors of the larger transformations 504 at much later stages of the development of human societies.

505

506 The soil scientists Certini & Scalenghe (2011) proposed that anthropogenic soils 507 as old as 2000 BP mark the beginning of the Anthropocene, for human-altered 508 soils mark a substantial global impact of humans on the total environment, and 509 by 2000 BP civilization's effects on soils were extensive. From local to regional 510 scales, soil scientists have documented the long history of human-soil relations 511 in Africa, Asia, Europe, and the Americas (McNeill & Winiwarter, 2004; Sandor, 512 2006). Amundson & Jenny (1991) evaluated the variety of ways that soils have 513 been altered by Pacific island colonizers, indigenous peoples of North America, 514 Midwestern USA farmers, and 19<sup>th</sup> and 20<sup>th</sup> century city-park managers. These 515 effects were subsequently followed by the 20<sup>th</sup> century transformation of soils by 516 human activities physically, chemically, and biologically. Geologic erosion rates 517 have been accelerated several-fold even on a global scale, and valley 518 morphologies are being restructured by deep deposits of legacy sediment (Merritts et al., 2011; James, 2013; Wade et al., 2019). Earth's surface and soils 519 are constantly evolving and while the human influence on soils may be 520 521 recognized to be extensive at 2000 BP and to have very clearly increased during

522 the 20<sup>th</sup> century (Richter 2007), soil change is evolutionary and, fundamentally, 523 human transformations of soil are diachronous.

524

525 A chronostratigraphic Anthropocene commencing in the mid-20<sup>th</sup> century 526 definitionally excludes millennia of such earlier human influences (Ellis et al., 527 2016) but this does not decouple it from its historical and causative links (as, for 528 instance, much of 20<sup>th</sup> century history is rooted in 19<sup>th</sup> century and earlier 529 events). The situation is directly comparable to many of the chronostratigraphic 530 boundaries of older parts of the GTS, where a correlatable horizon occurs within 531 a continuum of long-term change, as at the base of the Cambrian System 532 (Williams et al., 2014; Fig. 1 herein), and the base of the Silurian System 533 (Zalasiewicz & Williams, 2014). This is true also of the base of the Meghalayan 534 Stage of the Holocene Series where a chronostratigraphic boundary set at 4250 535 years (b2k) cuts seemingly arbitrarily across dramatic societal shifts brought 536 about by a climate event that lasted  $\sim$ 250 years (Walker et al., 2019). 537 538 Global assessments of the timing of onset of landscape change from 539 archaeological evidence commonly emphasize the long-term continuum. For

instance, Stephens et al. (2019) showed how foraging, pastoralism, agriculture 540

541 and urbanism developed between 10,000 years ago and 1850 CE, suggesting

542 extensive transformation of the terrestrial landscape by 3000 years ago.

543 Common with such analysis, though, the study does not investigate transitions 544 during the Industrial Age and Great Acceleration (e.g. see also Fig. 1 of Ellis et al., 545 2013, which excludes the latest 100 years). Consequently, these more recent 546 changes, larger to the extent of being 'off scale' when compared with the earlier 547 ones of the Holocene, fall outside of the frame of reference selected (Syvitski et 548 al., 2020).

549

550 These non-geological frameworks are valuable within their own contexts. 551 González-Ruibal (2018) considered that the task of archaeology is not to define 552 '-cenes' but to produce its own periodizations that range across time and space. 553 Just as archaeologists distinguish the Palaeolithic and the Pleistocene, even if 554 they occur approximately simultaneously (ca. 2.5 million to 11,000 years ago), 555 alternative terms to the chronostratigraphic (geological) Anthropocene might be adopted to refer to different (if intertwined) phenomena. Such emergent terms 556

could comfortably sit alongside, and fruitfully interconnect with, the 557

558 Anthropocene as proposed by Crutzen and now being explored by the AWG.

559

560 5.2 Greenhouse gas emissions-based early Anthropocene

Ruddiman (2013, 2018) and Ruddiman et al. (2015, 2016), proponents of an 561 562 informal 'anthropocene' or more recently of an "early anthropogenic hypothesis" 563 (Ruddiman et al., 2020), also focused on early human impact, but emphasized 564 the inferred atmospheric and climate effects of early farming. They suggested 565 that the termination of the slow decline and beginning of a slow rise in 566 atmospheric CO<sub>2</sub> and CH<sub>4</sub> levels,  $\sim$ 7000 and  $\sim$ 5000 years ago respectively (Fig. 567 2), were critical in preventing the onset of the next glacial phase, and hence are 568 key to defining the Anthropocene. This scenario is attractive, and the CO<sub>2</sub> levels 569 reached may well have been sufficient to delay the return of glaciation 570 (Ganopolski et al., 2016). But the evidence overall suggests a more complex and

- ambiguous narrative. For instance,  $\delta^{13}$ C studies and considerations of the oceanic carbonate patterns show that much of the extra atmospheric CO<sub>2</sub> was of oceanic origin (Broecker et al., 1999; Broecker & Stoker, 2006; see also Ahn & Brook, 2007), as natural ocean chemistry responded to the effects of declining insolation, or to changes in deep-ocean ventilation through the Holocene (Studer et al., 2018) rather than anthropogenic deforestation (see also Zalasiewicz et al.,
- 577 2019b).
- 578

579 Whatever the source of the rise in  $CO_2$  beginning 7000 years ago (arguably by 580 large-scale use of fire to clear land by hunter-gatherers) and of CH<sub>4</sub> rising from 5000 years ago (more confidently explained by emissions from rice and 581 582 livestock: Mitchell et al., 2013), these rises were small and gradual. They 583 contrast substantially with what the world has experienced beginning ~1850 CE 584 and much more sharply since 1950 CE – for which the analogy might be akin to the difference between walking down a gradually sloping ramp and falling off a 585 586 cliff (Fig. 2).

587

Focusing on the detail of these slow, ramp-like changes, additionally, may
obscure the much larger post-1850 CE – and especially post-1950 CE – rises in
atmospheric CO<sub>2</sub> and CH<sub>4</sub> levels: by showing the information in schematic, nonscalar figures (e.g. Ruddiman et al., 2015; Ruddiman, 2018, fig. 1; Ellis et al.,
2016) or simply by not using the data regarding modern times (~post-1850 CE)
in illustration (e.g. Ruddiman et al., 2016).

594

595 Overall, therefore, the traces of events linked with the 'early Anthropocene' 596 concept are either markedly diachronous (the spread of farming and urban 597 settlements) or gradual (the pre-industrial rise in CO<sub>2</sub> and CH<sub>4</sub> levels). In some 598 cases they hinge upon the local development of the archaeosphere – that is, the 599 presence of anthropogenically worked ground overlying the 'natural' substrate. 600 Because the lower bounding surface of the archaeosphere is so time-601 transgressive – varying in date from thousands of years old in places to 21st 602 century in others – it does not support any specific date for the start of the 603 Anthropocene, and this has been used to support conceptions of the 604 Anthropocene as an informal globally diachronous event (Edgeworth et al., 2015, 2019; Bauer & Ellis, 2018). Regardless of whether the Ruddiman hypothesis is 605 correct, the relatively small scale of change and paucity of isochronous 606 stratigraphic markers 7000 years ago, compared with the mid-20<sup>th</sup> century. 607 608 would not justify an epoch-level chronostratigraphic Anthropocene with an 609 onset at this time.

610

611 Thus, none of these 'early Anthropocene' concepts are compatible with the 612 requirements of a formal geological unit in the GTS. Instead, they reframe all or 613 part of the Holocene and potentially parts of the Pleistocene too, to recognize the 614 long record of humans in transforming the global environment. The historical justification for, and narrative of, the Holocene already includes the development 615 616 of human civilizations and the related impacts (Walker et al., 2009; Gibbard & 617 Walker, 2014). The impacts associated with industrialization continue this long 618 record of perturbation - but with sharp increase in scale and speed, novel

619 phenomena, and increasingly irreversible changes to the Earth System (Syvitski620 et al., 2020).

621

### 622 5.3 Other 'early Anthropocene' concepts

623 Of the various 'early Anthropocene' concepts, only one overtly sought to combine 624 a multi-millennial Anthropocene span in concordance with standard procedures 625 in defining a geological time unit (i.e., via a GSSP or 'golden spike') (Wagreich & 626 Draganits 2018). These authors used evidence of early mining and smelting lead 627 anomalies in various 'natural' archives to propose a lower boundary for the 628 Anthropocene at one of two significant events: 1) at around 3000 BP with the 629 first mining-induced spike of pollution, defined by lead enrichment and changes 630 in <sup>206</sup>Pb/<sup>207</sup>Pb ratios; or 2) at around 2000 BP associated with more extensive 631 Roman mining. The signals are widespread, but nonetheless regional. Peat bogs 632 throughout Europe offer clear evidence of Roman atmospheric Pb contamination (e.g. LeRoux et al., 2004; Monna et al., 2004; Cloy et al., 2005; Kylander et al., 633 634 2005; Shotyk et al., 2005), but there is no evidence of this signal in peat bogs 635 sampled in North America (Shotyk et al., 2016; Pratte et al., 2017a, 2017b) or southernmost South America (Sapkota, 2006). The Wagreich & Draganits (2018) 636 637 proposal of a GSSP based upon these far-field, albeit regional, stratigraphic records might be accommodated within the recent tripartite formal subdivision 638 639 of the Holocene (Walker et al., 2018, 2019). However, the related shifts in Pb 640 isotopic ratio are much smaller than early/mid to late 20<sup>th</sup> century isotope shifts 641 observed across Europe due to widespread use of isotopically-distinct lead from 642 Australian Precambrian Pb ores in leaded gasoline (e.g. Shotyk et al., 1998; Eades 643 et al., 2002; Cundy & Croudace, 2017). The early Pb enrichments are also 644 substantially smaller than those in the 19<sup>th</sup> and 20<sup>th</sup> centuries caused by 645 increased coal burning and leaded gasoline use.

646

647 An alternative concept, intermediate between the 'early Anthropocene' and the 648 one linked with modern industrialization, is that of an Anthropocene associated 649 with the arrival of Europeans in the 'New World' in 1492. This event resulted in a 650 major human population loss and replacement, increased globalization of human 651 foodstuffs, regional forest recoveries and influx of neobiota (Lewis & Maslin, 652 2015; Koch et al., 2019). This option has raised considerable interest amongst social scientists given the linkage to European colonization, subjugation and 653 654 extermination of indigenous peoples, and its contribution to expansion of the 655 slave trade. These authors attribute the small but abrupt decrease in atmospheric CO<sub>2</sub> (the Orbis spike) at  $\sim$ 1610 CE, evident in the Antarctic ice core 656 record, to depopulation and forest recovery across the Americas following the 657 658 initial colonization. They proposed it as a potential GSSP associated with one 659 synchronous event related to what was in fact a gradual, multi-decadal event 660 triggered by human political and economic desires. Certainly, European expansion and the resulting damage to other human societies and ecosystems 661 662 shaped the course of many diachronous disruptions to both natural and socioeconomic realms for centuries to come, many of which can be felt in present 663 664 societies. The Orbis spike is, however, not correlatable in most geological 665 archives, reducing its potential to define a chronostratigraphical Anthropocene unit, and has questionable linkage to an anthropogenic cause (see Zalasiewicz et 666 al., 2015), as ice core records of carbonyl sulfide show that a decrease in primary 667

production and ecosystem respiration, and not vegetation regrowth, was the
primary cause for the spike (Rubino et al., 2016). In any event, the magnitude of
the Orbis spike (or dip) is dwarfed by the later increase in atmospheric CO<sub>2</sub>, in
particular since ca. 1950 CE (Fig. 2).

672673 5.4 Wider relevance

674 The meaning of the Anthropocene to scholars of the social sciences, humanities 675 and arts varies widely according to the disciplines and communities involved, 676 and even among individual scholars within disciplines. Here, we can only sketch 677 out a few fields where the Anthropocene concept has a particularly strong, and 678 expanding, impact, including overviews of reactions of historians, political 679 scientists, legal scholars, economists, and philosophers, to the concept. The 680 patterns revealed might, perhaps, have more general application across other 681 disciplines, though some other assessments (e.g. Conversi, 2020) emphasize the diversity of interpretation. 682

683

While most historians remain unconcerned by the concept of the Anthropocene,
some subgroups – such as environmental historians, intellectual historians,
economic historians, historians of science – have addressed it vigorously if not
consistently. They remain divided about when it began (McNeill & Engelke,
2016; Austin, 2017). The leading positions are familiar ones within the
Anthropocene debates: about 1950, about 1800, about 1500, or in deep human
time.

691

692 Those historians who do embrace the concept, like many others in the 693 humanities and social sciences, typically use the term more loosely than 694 stratigraphers or Earth System scientists, with some exceptions (e.g., Thomas et 695 al., 2020). They generally understand the Anthropocene as an interval of time 696 during which humankind has exercised some unspecified degree of influence 697 upon ecosystems, rather than the more restricted sense expressed in the 698 mandate of the AWG: the interval in which "human modification of natural 699 systems has become predominant" (SQS, 2009).

700

701 Historians are usually uncomfortable with efforts at globally synchronous dating 702 and have never settled on a system of periodization for global history. They 703 routinely use periodizations that vary from place to place, so Chinese history and 704 African history have completely different schemes. Given this disciplinary 705 tradition, it is easier for them to conceive of an Anthropocene that began earlier in one place and later in another – at odds with the rules of chronostratigraphy – 706 707 than it is to conceive of "the species" as a historical agent of global 708 transformation (Chakrabarty, 2009). The commitment to this traditional 709 approach tempts historians to reject the chronostratigraphic Anthropocene 710 because it requires global synchronicity. This preference for particularism over 711 generalities appears equally in historians' resistance to grouping humankind 712 together rather than foregrounding analysis of social groups. Historians often 713 assert that such grouping hides the realities of inequality and exploitation, and 714 that these subjects deserve prominence over others. The humanities typically 715 ask for the human causes of the Anthropocene to be considered instead of the 716 effects on geological strata or the Earth System. This outlook generates unease

717 with both the concept and the term Anthropocene. Even so, chronostratigraphic 718 units provide a unifying framework for all disciplines, and in history the purely 719 temporal "15th and 16th centuries" is just as important for communication as is 720 the "Renaissance" as a cultural period.

721

722 Taking another approach, some historians resist the impulse to define the 723 Anthropocene for themselves, and ask not "when did the Anthropocene begin?" 724 but "when did the human activities and ideas capable of producing the mid-20<sup>th</sup> 725 century Anthropocene begin?" To this latter question, there are many answers 726 both temporally and spatially. A subfield called Big History begins its historical 727 narrative with the Big Bang (Christian, 2019), thus nesting human history within 728 both cosmic and Earth history, while other research traces the deep history of 729 institutions and technologies to suggest that patterns set in the deep past may 730 have made the Anthropocene inevitable (Morris, 2014). Alternatively, historians 731 point to the early modern period – by which they mean  $\sim$ 1450–1800 – when the 732 energies and environmental luck of Western imperialists led to globalization and 733 the shift in values that ultimately produced the Anthropocene (Pomeranz, 2000; 734 Parthasarathi, 2011). Yet other historians argue that the forces cementing the 735 rupture in the Earth System coalesced later. They explore the power unleashed 736 by 20<sup>th</sup>-century inventions such as the Haber-Bosch process, antibiotics, and 737 nuclear power, and developments such as postcolonial development and 738 expanding production (Harper, 2017, 2020; Hecht, 2018; Brown, 2019). For 739 these historians, the key is to differentiate the empirical task of defining the 740 Anthropocene chronostratigraphically from the work of evaluating the human 741 forces leading to it (Thomas, 2014).

742

743 As with historians, a small but growing subset of political scientists are adopting 744 the Anthropocene as a framework for political analysis. Increasingly, instead of 745 deconstructing the concept as a socially constructed meme (Di Chiro, 2016), they 746 engage with the Anthropocene science. Understanding our new reality and 747 providing improved forecasts of climate and environmental change does not, 748 however, give easy political answers. Indeed, political scientists resist the 749 implication that a planetary problem necessarily requires planetary governance 750 (Arias-Maldonado, 2020; Dryzek & Pickering, 2019) and generally consider topdown, eco-authoritarian governance as neither feasible nor effective (Beeson, 751 752 2010). A central problem is that our inherited political institutions deal with the 753 immediate and the near-term, oblivious to the larger scales required to 754 comprehend the Anthropocene. They also tend to be committed to the economic growth that is driving the global changes of the Anthropocene. But an increasing 755 756 number of political scientists now understand that the Earth System's 757 habitability is at stake, and are considering new institutions, systems, and ideas 758 that might lead to governance that accord with non-negotiable planetary 759 thresholds (Dryzek & Pickering, 2019).

760

761 Until recently, the Anthropocene has likewise remained beyond the scope of

762 international law, and thus peripheral to international legal scholarship.

763 International law has been focused since its inception on political changes

764 between states, not on changes in the Earth System conditions. The latter has

765 been taken as a given, being assumed to be stable, based on centuries- (indeed,

- millennia-) long experience of Late Holocene conditions. This assumed stability
  has therefore been implicitly incorporated in the foundations of the present,
  territorially-based system of international law. A systemic challenge for
- 769 international law is set to emerge when Earth System change, such as sea-level
- 770 rise, will put into question the factual basis of current territorial divisions,
- 771 impact on cross-boundary movements of human populations, and ultimately
- 772 challenge the criteria for statehood as set by international law. As this process
- has begun, and is intensifying, the Anthropocene is therefore taking on political –
- and international law relevance.
- 775

776 For international law scholarship, two links to the Anthropocene have emerged. 777 Firstly, how core parts of international law, such as of the law of the sea but also 778 of territory and its acquisition over centuries, facilitated the emergence of forces 779 that led to ever-greater human impacts on the Earth System (Vidas, 2011; 780 Viñuales, 2018). Secondly, how international law can evolve to be able to 781 embrace the consequences of changes in the Earth System, and remain relevant 782 for the regulation of inter-state relations (see, e.g., ILA, 2018). International law discussion concerning the Anthropocene is, however, less about its conceptual 783 784 content and more about the consequences of the geological, Earth System change 785 that it represents. This means that international law will largely rely on the 786 geological interpretation of the Anthropocene, should it be formalized. Indeed, 787 upon being formally adopted through a rigorous procedure within the 788 competent geological/stratigraphic bodies, the scientific fact of the 789 Anthropocene as a new epoch will become considered a fact of common 790 knowledge - a 'notorious fact', with a legal implication of not being open to 791 interpretation, but rather providing an inherent part of the overall context 792 within which international law operates.

793

794 Mainstream economics generally ignores the Anthropocene because it treats the 795 economy as separate from nature, with value calculated only, or primarily, on the 796 basis of market exchanges. Public goods, such as clean air, which are not bought 797 and sold, are invisible to the market and therefore have no value, a position first 798 articulated by political economist Jean-Baptiste Say (1767–1832). Furthermore, 799 modern economics essentially relies on assumptions of endless growth which 800 puts the economy and our finite planet on a collision course (Higgs, 2014). The 801 Anthropocene concept is therefore emerging among discussion of alternative 802 economic models, particularly those which treat the economy as a subset of the 803 natural world, in ecological economics (Brown & Timmerman, 2015), rather than vice versa, and that argue for limitations on growth - and even degrowth - to 804 805 balance the non-negotiable limits on our resources and the needs of growing 806 human populations (Raworth, 2017; Berners-Lee, 2019).

807

One of the most visible impacts of the Anthropocene concept has been in
philosophy and social thought, though rather outside or on the fringes of the
respective academic disciplines. Here, the Anthropocene is not seen as a problem
of chronostratigraphy but as a fundamental 'predicament' (Thomas et al., 2020)
that calls for a re-thinking of the conceptual basis of knowledge, ethics, politics,
aesthetics, and society (Morton, 2013; Raffnsøe, 2016; Latour, 2017; Hamilton,
2017; Ghosh, 2017; Clark & Szerszynski, 2021). Some of these positions, such as

815 those of Hamilton and Latour, explicitly emphasize the importance of engaging 816 with geology, stratigraphy, and specifically with ESS and its novel understanding 817 of nature as a single, integrated system. At the center of this approach is the 818 question how the Anthropocene challenges human self-understanding, including 819 social relations, human agency, and responsibility, as well as humanity's relation 820 to nature. Other positions often grouped under the label 'posthumanism', 821 question the idea of human exceptionalism. They emphasize the entanglement 822 and symbioses of human beings with non-human entities and argue for an ethics 823 of care and 'kin-making' with other species (Haraway, 2016, p. 103). Criticising 824 an occidental tradition of 'anthropocentrism', they define human nature as "an 825 interspecies relationship" (Tsing 2012, p.141) and call for an acknowledgement of 826 both human dependency on and responsibility towards the non-human (cf. Horn 827 & Bergthaller, 2020, p. 67-83)

828

829 Acknowledging the impact of human interference in Earth System functioning 830 leads to questions about traditional ethical norms and potentially a redefinition 831 of humanistic values such as liberty (Schmidt et al., 2016). This redefinition is 832 not about abolishing these norms and values, but about reframing them within 833 "a different kind of orientation to the Earth, on in which we understand deeply our 834 *extraordinary power and unique responsibility*" (Hamilton, 2017, p. 151). Our new 835 position vis-a-vis the Earth System also leads us to reconsider the forms of 836 knowledge that made this interference possible and blinded us to its 837 consequences. In order to recognize the predicament of the Anthropocene, some authors argue that we need new "knowledge regimes" beyond disciplinary 838 839 boundaries (Renn, 2020) or a "multidisciplinary" exchange among the fields of 840 research, diverse methods, and epistemological interests (Thomas et al., 2020). 841

842 While it is impossible to reconcile the many different approaches to the 843 Anthropocene concept in history, economics, philosophy, law, ethics, and social 844 thought, the contribution of the humanities and the social sciences can be 845 understood as assuming a position of meta-reflection. While leaving questions of 846 dating, definition and description of the Anthropocene to the sciences, this meta-847 reflection revolves around questions of responsibility, but also around re-848 defining what is human – such as forms of knowledge, society, culture, art – in 849 the face of the Anthropocene.

850 851

852

## 6. Discussion

853 854 Differing interpretations of the Anthropocene have emerged since Paul Crutzen 855 first launched the term into scientific discourse in 2000. The chronostratigraphic 856 (geological) concept closely follows that of Crutzen, as a marked intensification 857 of human impact, associated with global industrialization, becoming the 858 predominant factor in pushing fundamental parts of the Earth System out of the 859 conditions that prevailed over the great extent of the Holocene. This is most 860 clearly seen in the pattern of the abrupt rise in atmospheric CO<sub>2</sub> and CH<sub>4</sub> to levels 861 and rates of increase not seen, not only in the Holocene and late Pleistocene (Fig. 862 2), but throughout the preceding 2.6 million years of the Quaternary 863 System/Period (Yan et al., 2019). But it is similarly well expressed in the

864 perturbation of the N and P cycles and other global trends summarized in the 865 'Great Acceleration' graphs (Steffen et al., 2007, 2015; see also Syvitski et al., 2020); it is this mid-20<sup>th</sup> century level, mirrored by an array of proxy signals in 866 867 recent strata, that is being followed by the AWG as the start of the proposed stratigraphic Anthropocene. Crutzen's concept was clearly framed as a geological 868 869 time unit (using the term 'epoch' and clearly in relation to the Holocene), albeit 870 being framed in ESS and not chronostratigraphic/geological terms. Examined in 871 detail in formal stratigraphical terms, the amended version of Crutzen's concept 872 has in effect been shown to provide the functional basis for a potential *formal* 873 chronostratigraphic unit of both time and strata (i.e., an Anthropocene Epoch 874 and Series), distinct from the Holocene Epoch/Series (e.g., Waters et al., 2016, 875 2018). This concept hence represents real and sharp change to the Earth System, 876 and is valid from a chronostratigraphic perspective.

877

878 The archaeological/anthropological concept is valid also, although not oriented 879 on the notion of *predominant* human impact. It is not compatible with this 880 potential formal division of geological time, but may be complementary to it, in 881 the same way that diachronous rock units and the processes that formed them are integrated with the synchronous boundaries of a chronostratigraphic time 882 883 framework in geology. It is clear that humans since the Late Pleistocene and 884 particularly through the Holocene have produced distinct, detectable, and 885 unprecedented transformations of Earth's environments. These vary through 886 time and space, but this diachroneity is not a barrier to naming time units (e.g., 887 Palaeolithic, Bronze Age) in these disciplines. Use of the term Anthropocene 888 more overtly signals this growing human imprint than does the more neutral 889 term Holocene, even though one of the characteristics of the Holocene is its 890 'distinctive paleoenvironmental and unique anthropological record' (Walker et 891 al., 2009, p. 4). (N.b. This opinion is not universal among archaeologists: Wuscher 892 et al. (2020), with specific reference to the Anthropocene, note that 893 contemporary urban reworking of the ground has little in common with historic 894 and prehistoric archaeological signatures.)

895

896 The key functional difference between the archaeological/anthropological 897 Anthropocene and the ESS/geological (chronostratigraphic) interpretation does not depend simply on stratal characterization. The sharpest (and putative 898 899 'primary') stratal marker for precise definition of the chronostratigraphic 900 Anthropocene in geology appears to be the mid-20<sup>th</sup> century 'bomb spike' of 901 globally disseminated radionuclides (Waters et al., 2015), and yet this in itself 902 does not constitute an epoch-making change, particularly for a unit this brief. Rather, it is a widely recognizable marker that closely coincides with (e.g., 903 904 Bancone et al., 2020, Fig. 1) the sharp and pronounced difference in trajectory of 905 many key Earth System parameters that provided the initial impetus for the 906 chronostratigraphic (geological) Anthropocene (quantified by Syvitski et al., 907 2020), and that remains the justification accepted by the AWG. 908

This is seen prominently in the steep rise in atmospheric CO<sub>2</sub> concentrations

910 (Fig. 2), which clearly depart from the Holocene trend of overall stability. This

911 rise has more or less direct effects: altering the heat balance of the Earth, storing

912 heat in the oceans, heating the atmosphere, melting polar ice, inducing climate-

- forced changes in the geographical ranges of biota, and lowering oceanic pH
  (with yet further biological effects). Some associated changes have no deep-time
  analogue: the hydrocarbons-powered reshaping of landscape associated with
  rapid urbanization and modern agriculture, and such industrial processes as
  large-scale nitrogen fixation and the synthesis of an unprecedented array of new
  mineral-like materials (Hazen et al., 2016), components of myriad rapidly
- 919 evolving groups of technofossils, from skyscrapers to plastics, and their waste920 products.
- 921

922 As regards human and biological consequences, the Earth System based on many 923 parameters remained fundamentally the same throughout the pre-industrial 924 Holocene (or the bulk of the archaeological/anthropological Anthropocene), 925 within the range of small Holocene variations prior to the Industrial Revolution 926 (Fig. 2). It was broadly similarly habitable, from generation to generation for 927 millennia, albeit with large variations such as the Green Sahara interval, 928 megadroughts and other regional climate changes. The introduction of 929 anthropogenic fire regimes, hunting of large land mammals, and plant and 930 animal domestication fundamentally changed evolutionary processes and 931 ecological functioning across the terrestrial biosphere and left diachronous 932 signals in geological archives, but at rates that, whilst destabilizing local to

- 933 regional ecologies, did not destabilize the Earth System as a whole.
- 934

935 The changes associated with the chronostratigraphic (geological) Anthropocene, 936 by contrast, are now clearly destabilizing the Earth System globally, and this will 937 continue from generation to generation over at least many millennia (even if 938 anthropogenic forcing ceased tomorrow), as climate and sea level adjust to the 939 new radiative balance and other perturbations run their course. It is these 940 changes that human populations and ecosystems will need to mitigate or 941 somehow adapt to. It is this Anthropocene which is referred to as a framing 942 concept in, and gives urgency to, global assessments of such areas as human 943 health (Whitmee et al., 2015; Willett et al., 2019), climate (IPCC, 2018, 2019), 944 wildlife conservation (WWF, 2018), the environment and sustainability (EEA, 945 2020) and international law (Vidas, 2011; Vidas et al., 2015b, 2020; ILA, 2019). 946 For, if the trends that characterized most of the Holocene duration (of human 947 population growth, greenhouse gas emissions, mining, biotic changes and so on) 948 had continued through into the present, there would be little need for a new 949 geological time term, or for such global environmental assessments or the 950 concerns that sparked them.

951

952 This raises two central questions: is there indeed a need for the Anthropocene as 953 a new formal chronostratigraphic term in geology; and can the rank of epoch be 954 justified when this would by default terminate the Holocene? Perhaps the need is 955 effectively illustrated by the large and growing number of times "Anthropocene" 956 has been cited in the scientific literature (Head, 2019). Formal definition clarifies 957 and increases the utility of terms that are widely used but potentially ambiguous, 958 and this would certainly apply to the chronostratigraphic Anthropocene. This 959 was indeed the rationale for formalizing the terms Lower, Middle, and Upper as subseries of the Holocene (Walker et al., 2018, 2019). The rank of epoch can be 960 961 justified on grounds that the Earth System left the Holocene envelope of pre962 industrial variability in the mid-20<sup>th</sup> century, and it did so spectacularly owing to
963 force-multiplying feedbacks in response to overwhelming human impacts. The
964 planetary transformations associated with the Great Acceleration vastly
965 outweigh in impact and in stratigraphic expression the 8.2 ka and 4.2 ka climatic
966 events used to subdivide the Holocene. Given both the rate and scale of change
967 marking the onset of the chronostratigraphic Anthropocene it would be difficult
968 to justify a rank lower than series/epoch.

969

970 What the Anthropocene means to human experience more widely may be 971 approached via philosophy, history, politics, law, economics and other fields 972 dedicated to addressing issues of meaning and value. These disciplines are 973 increasingly asking how and why these mid-20<sup>th</sup> century developments arose 974 and what the rapid transformation of our planet means for human societies and 975 their ideas of justice, decency, and order. The political, social, cultural, and 976 economic antecedents of the Anthropocene are intrinsic to the fuller analysis of 977 the concept, as are considerations of humanity's future. While some social 978 scientists and humanists align their understanding of the Anthropocene with the 979 chronostratigraphic and ESS definition of this phenomenon (e.g., Angus, 2016), 980 others choose to redefine it or invent alternative terms such as Thanatocene, 981 Thermocene and Capitalocene (see Hallé & Milon, 2020) to offer different models 982 of explanation for the current ecological crisis, though some may include 983 elements of distrust of science (in turn partially manufactured by political and 984 corporate interests to give impetus to those who wish to reject scientific 985 findings: Oreskes, 2019). It is not clear whether the formalization of the 986 chronostratigraphic Anthropocene, should it occur, will have any impact on 987 humanists, social scientists and others who are not ready to engage with the 988 scientific approaches such as in chronostratigraphy and ESS. It is therefore 989 important to consider how these various meanings might be managed in 990 practical terms.

991 992

## 993

#### 7. Potential acceptance and utility of the chronostratigraphic (geological) Anthropocene beyond geology

994 995 A situation has arisen where, as a result of different disciplinary perspectives, a 996 widely useful term, which refers to a time when human forces shape nature, has 997 evolved into overlapping but distinct concepts. This is not unique to the 998 Anthropocene – many words have homonyms of identical spelling and sound but 999 quite different meaning. Other words have a general meaning, and also a 1000 different or more specific meaning within an academic discipline. Within 1001 stratigraphic geology, for instance, such terms as 'era', 'period', 'epoch', and 'age' 1002 have highly specific meanings as different scales of time unit, quite distinct from 1003 their vernacular usage, and also their intended meaning within most humanities 1004 scholarship (where the Anthropocene may be referred to as an 'era' or as an 'age' 1005 without implying a specific stratigraphic meaning). 'Soil' also has different 1006 definitions in different disciplines – pedology, geomorphology, geology and civil 1007 engineering. This can lead to confusion, which may be avoided by taking care to 1008 specify the precise meaning intended in communication. Situations like this arise 1009 also in legal interpretation methodology under international law, where the

1010 "ordinary meaning" of a term – if not already strictly defined – is sought by

1011 means of interpretation (Vienna Convention, 1969).

1012

1013 The presence of a chronostratigraphic (geological) epoch/era distinction and its 1014 lack in vernacular use rarely causes major confusion in communication. 1015 However, the conceptual difference between a temporally recent, rigorously and 1016 precisely defined chronostratigraphic Anthropocene in geology and a more 1017 generally defined and earlier starting Anthropocene, the meaning of which can 1018 differ from study to study, seems great enough to potentially cause significant 1019 and widespread confusion and misunderstanding. Formalization of the 1020 geological meaning of the Anthropocene in stratigraphy – if this becomes the 1021 case - will likely contribute to the clarity of the term and facilitate its use, at least 1022 in geology and hopefully more widely. 1023 1024 Meanwhile, clarity of meaning might be gained by additionally qualifying the 1025 term. For instance, for the former, one may speak of the geological (and/or 1026 chronostratigraphic) Anthropocene, or use reference to a key publication, for 1027 instance the Anthropocene sensu Waters et al. (2016). 1028 Others have also considered a "pre-" or "proto-Anthropocene", reflecting 1029 1030 regionally dependent and non-synchronous impacts prior to the mid-20<sup>th</sup> century (Dubois et al., 2017). For example, the smelting of copper in Yunnan, 1031 1032 China starting from c. 3400 BP (Dearing et al., 2008) clearly broke with earlier 1033 conditions and had a local environmental impact, but cannot be considered to 1034 define a global stratigraphic marker. The term 'Palaeoanthropocene' has also 1035 been proposed for the time of early anthropogenic impacts, prior to the 1036 Anthropocene sensu stricto associated with industrialization (Foley et al., 2013). 1037 1038 One might consider a capitalized 'Anthropocene' as representing the tightly 1039 defined geological, chronostratigraphic concept, with an uncapitalized 1040 'anthropocene' being used for broader interpretations (cf. Ruddiman et al. 2015; 1041 Richter, 2020). This kind of distinction is used in geology, for instance to 1042 differentiate between the meaning of a sedimentary bed (informal) and a 1043 specific, defined lithostratigraphic 'bed' which has formal meaning and is 1044 capitalized, e.g. the Ludlow Bone Bed. Outside of geology, journalists and

1045 students of politics live with this problem with words such as

1046 Conservative/conservative: Democratic/democratic: etc., denoting a political party in some cases and a wider concept in others. Thus, one could refer to the 1047 "anthropocene" (uncapitalized), for instance sensu Ruddiman et al. (2015). 1048

1049 Would such a subtle distinction (see discussion in Zalasiewicz et al., 2019b) help

1050 scientific communication? Perhaps, but this is made more difficult by the 1051 uppercased initial letter in Anthropocene being lost in the spoken word, and not

1052 being available in some non-English written languages, as in German or Spanish 1053 where all proper nouns have their initial letter capitalized, in Japanese where

- 1054 capitalization does not exist, and in Croatian where such proper nouns would not 1055 be capitalized.
- 1056

1057 Alternatively, given that there exist different concepts, then the most logical and compelling course of action may be to use different terms. The wide debate 1058

- 1059 surrounding the concept has indeed led to the coining of over a hundred
- alternative terms which to varying degrees overlap with the Anthropocene
- 1061 (Hallé & Milon, 2020), each emphasizing particular aspects: these range from
- 1062 environmentally-based ones such as the Homogocene (Hassol & Katzenberger,
- 1063 1995) or Homogenocene (Samways, 1999) and so coined before Crutzen's
- 1064 term) and Myxocene (Pauly, 2010) and the Pyrocene (Pyne, 2015) to
- sociopolitically-founded terms such as the Capitalocene (Moore, 2016) and
  Plantationocene (Haraway, 2015). Many of these terms were coined in order to
- 1067 criticize the Anthropocene concept by pointing to its philosophical or
- 1068 epistemological shortcomings and highlighting alternative causalities or effects
- 1069 of the current changes in the Earth system.
- 1070
- 1071 Even with some agreement on this point, though, means of regulation and
- 1072 enforcement are limited. Formal geological time terms (that may in time come to
- include the Anthropocene) may be closely regulated in Earth sciences
  publications, as authors need to follow technically-based editorial guidelines (in
- 1074 publications, as authors need to follow technically-based editorial guidelines (in 1075 turn based on ICS guidelines), but this kind of 'clarity control' is in practice only
- 1075 turn based on iCS guidennes), but this kind of clarity control is in practice on 1076 effective within a specific discipline. Study of the Anthropocene(s) is now
- 1076 multidisciplinary, a development which has produced much that is positive, but
- 1077 multidisciplinary, a development which has produced much that is positive, but 1078 which brings with it issues that require resolution. We encourage further
- 1079 discussion of this particular issue, of name and identity, among the scholarly
- 1080 communities involved, so that precise communication and effective collaboration
- in this important and wide-ranging area (Fig. 3) might be facilitated. We expect
- 1082 that the formalization of the Anthropocene through a rigorously regulated
- 1083 stratigraphic process, if resulting in a newly ratified geological time unit, can
- positively contribute to this cross-disciplinary debate, and help achieve clarity inthe use of the term 'Anthropocene'.
- 1086

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- 1090

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### **Tables**

Table 1 Examples of definitions and use of the term 'Anthropocene' in different disciplines

Context	Meaning of the term 'Anthropocene'	Reference
Geology	"a distinct and globally near-synchronous body of strata characterised by a wide array of stratigraphic proxy markers, a unit that is most clearly recognisable as a globally near synchronous unit with a boundary placed somewhere around the 1950s"	Zalasiewicz et al. (2019a, p. 285)
	"a new geological epoch based on the recognition that contemporary human relations of production have irreversibly altered Earth's geological processes"	Tschirhart & Bloomfield (2020, p. 698)
	"the time interval in which earth's bio-geo-chemical processes are substantially influenced by human activities such that they leave a permanent record in the planet's rock strata"	Olvitt (2017, p. 396)
	"the geologic epoch in which we live, characterized by the global impact of human activities on Earth"	Rull (2017, p. 1056)
Earth System science (ESS)	"the major and still growing impacts of human activities on earth and atmosphere, at all, including, global scales"	Crutzen & Stoermer (2000, p. 17)
	"the current epoch in which humans and our societies have become a global geophysical force"	Steffen et al. (2007, p. 614)
	"a sharp step change in the nature, magnitude, and rate of human pressures on the Earth System, driving impacts that push the system beyond the Holocene basin of attraction"	Steffen et al. (2016, p. 336)
	"The formal establishment of an Anthropocene Epoch would mark a fundamental change in the relationship between humans and the Earth system"	Lewis & Maslin (2015, p. 171)
Geography	"the current state of planet Earth and the complicated relationship between <i>Homo sapiens</i> and Earth as our home"	Ziegler (2019, p. 272)
Social science (socio- economics)	"The 'Anthropocene' is defined by the observation that humanity has become a planetary force, on a par with the geological or climatic forces used to define phases of Earth history"	Fischer-Kowalski et al. (2014, p. 9)
	"This intervention questions the species category in the Anthropocene narrative and argues that it is analytically flawed, as well as inimical to action"	Malm & Hornberg (2014, p. 62)
	"The Anthropocene label, proposed in the 2000s by specialists in Earth system sciences, is an essential tool for understanding what is happening to us. This is not just an environmental crisis, but a geological revolution of human origin"	Bonneuil & Fressoz (2016, preface)
(environ- mental humanities)	"the discourse of the Anthropocene refuses to challenge human dominion, proposing instead technological and managerial approaches that would make human dominion sustainable"	Crist (2013, p. 129)

Archaeology and anthro- pology	"stratigraphic boundaries within archaeosphere deposits – marking the start of processes such as the spread of agriculture, diffusion of pottery or metal technologies, phases of industrialization, introduction of novel materials such as plastics and the advent of nuclear technology – would all be understood to indicate developments taking place within the Anthropocene" "The initial domestication of plants and animals, and the development of agricultural economies and landscapes are identified as marking the beginning of the Anthropocene epoch"	Edgeworth et al. (2015, p. 53) Smith & Zeder (2013, p. 8)
Pedology	"a late Holocene start to the Anthropocene at approximately 2000 yr BP when the natural state of much of the terrestrial surface of the planet was altered appreciably by organized civilizations" "the transition from pedology to anthropedology	Certini & Scalenghe (2011, p. 1273) Richter (2020, p. 8)
	is forged not only by the mid–20th century's Great Acceleration of Steffen et al. (2015), but also by the many pedological studies that have explored the diachronous beginnings of human influences on soil"	
Ecology and conservation biology	"In ecology, the Anthropocene concept has focused attention on human-dominated habitats and novel ecosystems, while in conservation biology it has sparked a divisive debate on the continued relevance of the traditional biocentric aims"	Corlett (2015, p. 36)
	"A major consequence of coral reef ecological transitions is that the Anthropocene is likely to be defined by a progressive decoupling between current reef ecological states and the physical functions that reefs provide"	Perry & Alvarez- Filip (2018, p. 985)
	"Airborne and waterborne chemicals, lowered water pH, rising temperatures, increasing rates of extinctions, habitat fragmentation and loss, non-native invasive species, and new diseases have not yet altered key aspects of every ecosystem"	Caro et al. (2011, p. 185)
Philosophy	"an expression of modernity, an attack on Earth and the biosphere, or a biological imperative that is inherent to human existence"	Rull (2017, p. 1056)
	"To live in the epoch of the Anthropocene is to force oneself to redefine the political task par excellence: what people are you forming, with what cosmology, and on what territory?"	Latour (2017, p. 143)
	"Humans are more powerful; nature is more powerful. Taken together, there is more power at work in Earth."	Hamilton (2017, p. 45)
History	The Anthropocene "spells the collapse of the age-old humanist distinction between natural history and human history"	Chakrabarty (2009, p. 201)
	"the screeching acceleration of so many processes" that "the human race, without intending anything of the sort, has undertaken a gigantic uncontrolled experiment on the earth"	McNeill (2001, p. 4)
	"the Anthropocene encapsulates the evidence that human pressures became so profound around the middle of the 20th century that we blew a planetary gasket"	Thomas (2019, p. 1)
International Law	"the definition of current international law is, in many respects, that of a <i>system of rules resting on foundations</i> <i>that evolved under the circumstances of the late Holocene</i> ,	Vidas et al. (2015, p. 4)

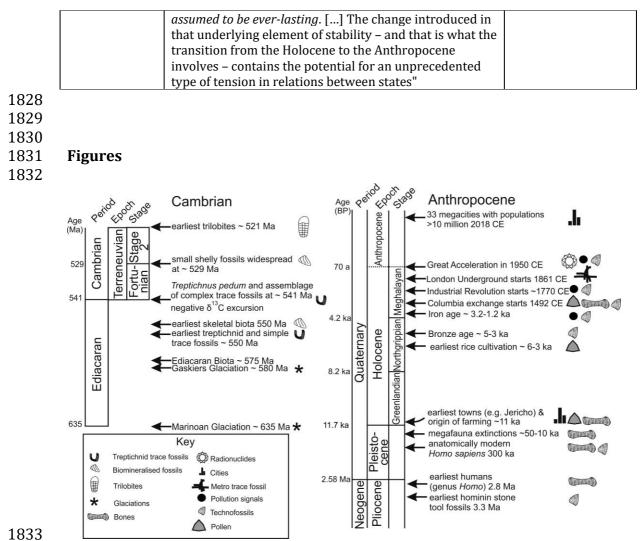
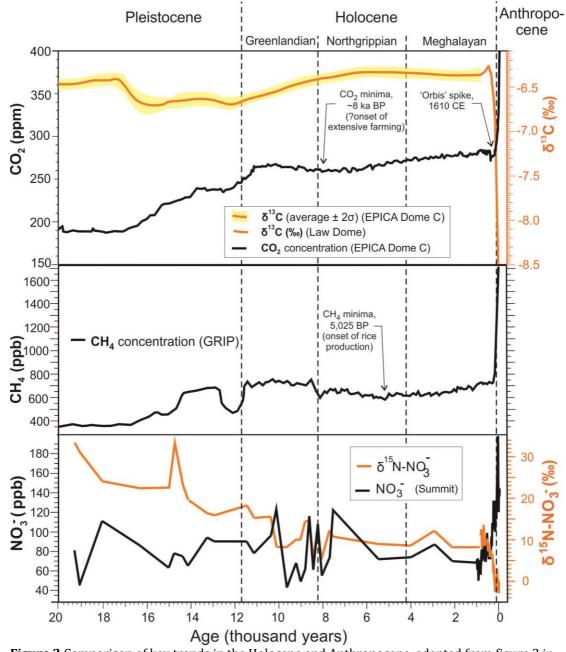
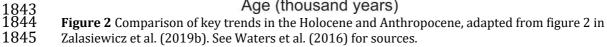
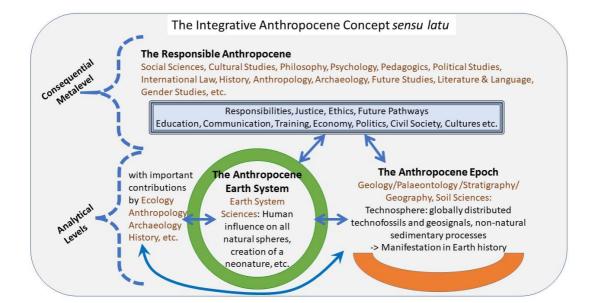


Figure 1 A comparison of events associated with the transition from the Ediacaran to the
Cambrian periods, 541 million years ago, and the transition from the Holocene to Anthropocene
in recent times. In each case, there is a succession of events that take the Earth System, over time,
from one state to another, and in each case, to establish a geological time boundary, the most
practicably correlatable (and therefore mostly nearly globally synchronous) signal needs to be
chosen as primary marker in formally defining the respective time intervals. Adapted from
Williams et al. (2014). Not to scale.







1848 Figure 3 Opening further discussion: Sketch of a possible integrative and extended multilevel 1849 Anthropocene concept, highlighting systemic and interlocking interdisciplinary and 1850 transdisciplinary approaches (Based on Leinfelder, 2018, Fig. 2; see also discussion draft by 1851 Leinfelder, 2020). "Anthropocene" in the humanities and social sciences is a synthetic, less 1852 precise term that hints at an understanding of human responsibility. Instead of being an issue of 1853 precise definition, it begets criticism and debate (including the alternative terms) in order to 1854 better understand the deeper (i.e. political, ethical, cultural, epistemic etc.) implications of the 1855 diagnosis inherent in the scientific term. Formalization of the term is one side of the debate, and 1856 it will form an important point of reference for the humanities and social sciences to engage with 1857 the science. On the other hand, the humanities/social sciences aim at a more differentiated and 1858 thus more flexible understanding of the Anthropocene as a human-influenced state of the Earth 1859 system as a cultural threshold. This wider understanding should be seen as *complementary* to the 1860 very precise, narrow understanding in geology/ESS. While the scientific term is descriptive and 1861 analytical with regard to a given state of affairs, the humanities term is either normative (what 1862 should we do now?) or narrative ("how did we get here?"), or both ("why did we get there?"). 1863